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ALTITUDE OPTIMIZATION ALGORITHM FOR CRUISE, CONSTANT SPEED AND LEVEL FLIGHT SEGMENTS

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FOREWORD

One of the main research and development objectives in the aeronautical industry consists in the development of innovative equipment and algorithms that contribute to improving the standards of economic efficiency and environmental protection. The Green Aviation Research and Development Network (GARDN), a Business-Led Network of Centers of Excellence (B-LNCE), regroups leading Canadian Aerospace Industry and Academic Research Centers. GARDN actively promotes and supports projects and collaborative research that address the environmental protection using green aircraft design.

Under GARDN auspices, the Research Laboratory in Active Controls, Avionics and Aeroservoelasticity (LARCASE), at Ecole de Technologie Superieure (ETS), and CMC Electronics-Esterline, are collaborating on a research project investigating new or improved cruise and descent trajectory optimization algorithms for the CMC Electronics-Esterline's Flight Management System.

In this thesis, an algorithm is proposed that determines the optimal altitude that minimizes the total costs for flying a constant speed, level flight, cruise segment. This algorithm is the subject of the present thesis.

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ALTITUDE OPTIMIZATION ALGORITHM FOR CRUISE, CONSTANT SPEED AND LEVEL FLIGHT SEGMENTS

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RÉSUMÉ

Dans ce mémoire le développement d'un algorithme est présenté. Dans cet algorithme, nous déterminons l'altitude optimale pour un vol de croisière, à une vitesse et altitude constantes, sur un segment donné de la trajectoire de vol. Le critère d'optimisation correspond à la minimisation des couts totaux, et, si possible, de la consommation de combustible, pour parcourir le segment de croisière spécifié. Le but principal est de prouver le concept d'un algorithme, pour une fonctionnalité du FMS, informant les pilotes sur l'altitude de vol optimale pour le segment de croisière considéré.

L'algorithme a été développé en MATLAB, en utilisant une nouvelle méthode de calcul de la consommation de combustible pour les vols de croisière, à une vitesse et altitude constantes, en utilisant les données de performance de l'avion. Trois modèles d'avion ont été considérées, un pour lequel le modèle du vol de croisière prend en compte la position du centre de gravité, et deux modèles qui ne le font pas.

L'algorithme a été développé pour des conditions normales de vol, et il ne prend pas en compte les couts correspondent aux changements d'altitude, au début et à la fin du segment, requises pour atteindre l'altitude optimale et revenir à l'altitude de croisière initiale.

Les performances de l'algorithme ont été évaluées sur trois modèles d'avion – Airbus A310, Sukhoi RRJ et Lockheed L1011. Les données de validation ont été générées à partir des informations produites sur une plate-forme FMS de CMC Electronics – Esterline, qui utilise les mêmes modèles d'avion, et les mêmes données de performance, pour les mêmes conditions de vol

Mots-clés : Flight Management System, altitude optimale de croisière, cout minimal, consommation de combustible

ALTITUDE OPTIMIZATION ALGORITHM FOR CRUISE, CONSTANT SPEED AND LEVEL FLIGHT SEGMENTS

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ABSTRACT

In this thesis, the development of an algorithm is presented. The algorithm determines the optimal cruise altitude for flying an aircraft at a constant speed and altitude on a given segment of the flight route. The optimization criteria corresponds to the minimization of the total costs, and, if possible, fuel consumption, associated with flying the cruise segment. The main objective is the development of a new algorithm, for a functionality of the FMS platform, that will display for the pilots the advisory information on a segment's cruise altitude yielding the minimal cost.

The algorithm, developed in MATLAB, is using a new method for computing the fuel burn, for the level flight cruise segments, based on the aircraft's performance data. Three aircraft models were considered, one whose cruise modeling uses the center of gravity position, and two that do not use the center of gravity position.

The algorithm was developed for normal flight conditions, and does not consider the costs associated with the initial and final changes of altitude, necessary to reach the optimal altitude and, at the end of the segment, needed to return to the initial cruise altitude.

Algorithm performances were evaluated on three aircraft models – Airbus A310, Sukhoi RRJ and Lockheed L1011. The validation data were generated based on the information produced on a CMC Electronics – Esterline FMS platform that used an identical aircraft model, and performance data, for identical flight conditions.

Keywords: Flight Management System, optimal cruise altitude, minimal cost, fuel burn.

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LIST OF ABBREVIATIONS AND ACRONYMS

A310 Airbus A310

ADC air data computer

B-LNCE Bussiness-Led Network of Centers of Excellence

CDA Continuous Descent Arrivals

ETS Ecole de technologie superieure

FMS Flight Management System

Flightsim eNGENUITY Technologies Inc. - STAGE Flightsim 9.1

GARDN Green Aviation Research and Development Network

L1011 Lockheed L1011

LARCASE Laboratory of Advanced Research in Control, Aerodynamics and

Aeroservoelasticity

LNAV Lateral Navigation

MSL Mean Sea Level

OPD Optimal Profile Descents

PTT CMC – Electronics Esterline CMA9000-PTT

RCL Recommended Cruise Level/Altitude

RK4 Runge-Kutta 4 numeric integration algorithm

RNAV Area Navigation

RNP Required Navigation Performance

RRJ Sukhoi Superjet 100

RTA Requested Time of Arrival

SI International Standard of Units and Measurements

VNAV Vertical Navigation

LIST OF SYMBOLS AND MEASUREMENT UNITS

alt altitude (ft)

 a_{LR} air temperature variation coefficient (${}^{\circ}R/ft$)

CAS calibrated airspeed (Kts)

cg center of gravity position (%MAC)

CGREFDIST aircraft center of gravity reference point's position, as a distance from

the aircraft's reference point (m)

CG_AT_GW center of gravity position function of the total weight (%MAC)

CG_SLOPE center of gravity position variation coefficient (%MAC)

CI cost index (Kg/min)

 C_{tot} total cost (\$)

 C_{TOT} total cost (Kg)

datum the longitudinal reference point of the aircraft (dimensionless)

dcg center of gravity position variation (%MAC)

deg degrees

δ pressure ratio (dimensionless)

dgw gross weight variation (Kg)

\$ US dollars

fbr fuel burn rate (Kg/h)

FC fuel cost (\$)

fcr fuel correction factor (dimensionless)

ff fuel flow (Kg/h)

fuel fuel weight (Kg)

Fuel_{price} fuel price (\$/Kg)

ft foot

γ adiabatic constant of the ideal gas (dimensionless)

GS ground speed (Kts)

gw gross weight (Kg)

h hour

IAS indicated airspeed (Kts)

ISA Dev air temperature deviation from the value corresponding to the standard

atmosphere (°K)

°K Kelvin

Kg kilogram

Kts Knots

lb pound

lbf Pound-force

LEMAC the leading-edge, mean aerodynamic chord position with respect to the

datum (m)

m meter

M_a aircraft moment (Kg m)

M_f fuel moment (Kg m)

M_{fgw} fuel moment expressed as a function of the total weight (Kg m)

min minute

MIN ALTITUDE minimal cruise altitude (ft)

mmo maximum operational Mach index (dimensionless)

M_t total moment (Kg m)

MAC Mean Aerodynamic Chord (m)

%MAC percentage of MAC (dimensionless)

Mach index (dimensionless)

NFC non fuel cost (\$)

Nm nautical mile

OAT outside air temperature (°K)

OPT_DISTANCE cruise segment length / optimization distance (Nm)

p air pressure (lb/ft²)

p_{SL} standard atmosphere, mean sea level air pressure(lb/ft²)

R ideal gas constant (ft lbf/slug °R)

°R Rankine

 ρ air density (slug/ft³)

 ρ_{SL} standard atmosphere, mean sea level air density (slug/ft³)

slug – imperial weight unit

σ density ratio (dimensionless)

T air temperature (°K)

T_{SL} standard atmosphere, mean sea level air temperature (°K)

T_{flight} cruise segment' flight time (h)

TAS true air speed (Kts)

temp temperature (°K)

vmo maximum operational IAS speed (Kts)

WA wind angle (deg)

XXIV

WCA wind correction angle, or crabbing angle (deg)

WV wind speed (Kts)

zfgw zero fuel gross weight (Kg)

zfwcg zero fuel weight center of gravity position (%MAC)

INTRODUCTION

The Flight Management System (FMS) is an important element of modern aviation. Its capabilities have a direct, and major, impact in terms of flight safety, environmental and economical performances. This thesis presents the development of an algorithm for a Flight Management System. This algorithm will determine the optimal cruise altitude for an aircraft flying on a given distance of its flight plan's cruise segment, at constant speed and altitude. The algorithm will yield the minimal total flight costs for the given flight distance.

A number of limitations were imposed in the development of the algorithm, which:

- Must be deterministic, meaning that at any time, an identical set of input parameters must conduct to an "identical" algorithm response.
- Must be compatible with the real-time nature of the FMS application. The modules
 requiring more time or processing resources must be executed as least as possible and
 should not affect the application's response time.
- Is compatible with the aircraft performance and capabilities description model, based on linear interpolation tables, used by the FMS platform.
- Is applicable to aircraft cruise performance description models as given by CMC Electronics that are dependent of the center of gravity position (*cg*), and with models that are not.

The other limitations of the algorithm are:

- Only normal cruise operation conditions are considered. One engine operation or other abnormal conditions are not considered.
- The altitude optimization is performed for a cruise segment, defined by its heading and length.
- The cruise segment performances are evaluated at altitudes that are multiples of 1,000 ft.
 These altitudes are situated between a minimum altitude, provided as an algorithm input parameter, and aircraft's maximum attainable altitude, function of its performances,

capabilities and its configuration (gross weight, center of gravity position, speed etc.) at the start of the segment.

- No time constraints were considered (such as Requested Time of Arrival, RTA, and arrival error cost function which factors the costs incurred for not observing the waypoints' arrival time constraints).
- At each altitude, the performances are evaluated for constant speeds.
- Aircraft speed is described by the speed schedule, defined by CMC Electronics as a couple of Indicated Air Speed (*IAS*) and Mach number (*Mach*) values. Their use is function of the crossover altitude, defined as the altitude at which the true air speed (*TAS*) computed using the *IAS* equals the *TAS* computed using the Mach number. The *IAS* value is used below crossover altitude, and the Mach value is used at or above crossover altitude.
- Two wind scenarios, associated with the cruise segment, are considered: still air (no wind), and constant wind. In the case of constant wind, the wind structure, describes the wind speed and direction at up to four altitudes, and is constant along the segment length.
- If a set of two or more altitudes yield the minimal cost, the selected optimal altitude corresponds to the altitude, in the set, also yielding the minimal quantity of burned fuel.

The first chapter reviews the current state of the art, related to the FMS and the cruise optimization algorithms. Subsequently, the second chapter details the main theoretical concepts used in the development of the algorithm. The third chapter presents the algorithm implementation for each of the two considered aircraft performance models. In chapter four, the results obtained with this algorithm are presented, and compared with the corresponding results, computed using the flight time and fuel burn information, generated on a PC-based FMS simulator. Finally, the conclusions and the recommendations for future work are presented.

CHAPTER 1

LITERATURE REVIEW

The avionics industry has a continuous and special interest in augmenting the performances and capabilities of the FMS. This is determined by two factors: first, the introduction of new aviation standards and requirements; second, the ongoing increase in computing power and the development of new hardware and algorithms. An analysis of Liden [1] provides a comprehensive description of the development and evolution of the FMS, at Honeywell, since its initial design, in 1982. In a more recent work, Herndon et al. [2] describe some of the current key FMS concepts and directions of development, including Area Navigation (RNAV), Required Navigation Performance (RNP), Optimal Profile Descents (OPDs), and Continuous Descent Arrivals (CDA). A comparative analysis of the capabilities and performances of several modern FMS equipment is also provided.

As presented by Liden [1], two important sets of FMS functions, for performance prediction and for performance optimization, are used to compute flight trajectories that attain specific objectives (such as lateral navigation – LNAV, vertical navigation – VNAV, and cost or fuel burn optimization), while observing various constraints (such as speed, altitude, time or fuel burn). Past and current economic, and climatic, developments accentuated the interest for the development of new or improved FMS flight performance prediction and trajectory optimization algorithms and functions. One important class of performance optimization objectives refers to the determination of optimum cruise altitude profiles with the aim to minimize the flying costs incurred in flying a part of, or the entire cruise segment of the flight plan Liden [3]. The optimal cruise profile may consider time, as shown by Liden [4], or other constraints, such as arrival error cost functions, see Liden [5]. The optimization process may be approached from different perspectives, such as energy-state equations Liden [5] and Shufan Wu et al. [6], or aircraft's performance and capabilities model, based on linear interpolation tables Liden [3].

The algorithm developed in the present thesis is based on the method that uses the aircraft's performance and capabilities model - described by Liden [3]. The computation of the optimal cruise altitude, also called the recommended cruise altitude (RCL), for a segment of a determined length, at constant speed, in level-flight conditions, was achieved by performing a series of forward predictions. The method described by Liden [3] determined the maximal altitude to use for the cruise segment, as a function of the current aircraft parameters (such as gross weight), selected speed and atmospheric conditions. Then the set of altitudes considered in the process of optimization were determined, by applying a set of restrictions imposed by the aircraft's performances and capabilities. For each altitude, the segment length was decomposed in intervals of up to 50Nm, on which the ground speed, corresponding flight times, and fuel burns were computed. The fuel burn was computed using the fuel flow (ff) performance parameter, expressed in kg/h, as a function of the aircraft speed, gross weight (gw), outside air temperature, and altitude. The fuel flow, considered constant on each interval, and equal to the value computed at the beginning of the interval, was integrated to produce the fuel burn. The cruise segment's total fuel burn and flight time, at each altitude, were computed as the sum of the fuel burns, and flight times respectively, of the corresponding intervals. Subsequently, the total cost, at each altitude was computed as the sum of the fuel cost, corresponding to the total fuel burn, and the non fuel cost. The non fuel cost was found to be proportional to the cruise segment's total flight time, by a factor called the Cost Index (CI), expressed as the ratio between the price of one kilogram of fuel, and the non fuel cost for one minute of flight. Finally, the total cost values, corresponding to the set of altitudes, were compared and the altitude yielding the minimal total cost was selected as the optimal altitude.

The algorithm developed in this thesis, however, presents two main differences related to the constant speed, constant altitude, cruise, fuel flow modeling and fuel burn computation. It computes the instantaneous fuel consumptions, expressed in kg/h, called the fuel burn rates (*fbr*), as a product between the fuel flow (*ff*) and a new parameter, the fuel correction factor (*fcr*). The fuel correction factor, expressed as a dimensionless value, allows a more flexible, aircraft cruise fuel burn modeling than the Liden's approach described in the previous

paragraph. Two *fcr* function models are considered, the first function considers the *fcr* as a constant value, while the second function considers the *fcr* as a function of the aircraft center of gravity position (*cg*), speed, gross weight, and altitude. The algorithm also considers the continuous variation of the *fbr* along the cruise flight segment, and computes the fuel burn as the integral value of the *fbr* along the entire cruise segment length (flight time). Therefore, the value of the fuel burn, and the total cost, computed by the algorithm developed in this thesis are more accurate than the value computed considering a constant fuel burn rate, as described by Liden [3]. It also allows the computation of the fuel burn value for the entire cruise segment, without the constraint of decomposing it in sub-segments, irrespective of its length.

CHAPTER 2

THEORETICAL ELEMENTS

The main theoretical concepts and elements used in the development of the algorithm are presented in this chapter. The cruise fuel burn model and the structure of the total flight cost are described in sections 2.1 and 2.2. The standard atmosphere and the relationship between the indicated air speed (*IAS*), Mach number (*Mach*), and the true air speed (*TAS*) are presented in sections 2.3 and 2.4. The relationship between the aircraft's *TAS*, the wind, and the aircraft's ground speed is then presented in section 2.5 and 2.6. The method used to compute the position of the center of gravity of an aircraft is then described, in section 2.7, and followed by the elements that determine the aircraft's maximal cruise altitude, in section 2.8.

2.1 The fuel burn rate model for constant speed, level, cruise flight

The fuel burn rate (*fbr*) model, employed in the present thesis, is obtained from the model that uses a fuel flow linear interpolation table, described by Liden [3], as a function of the aircraft's altitude, speed, outside air temperature and gross weight.

A new parameter, fuel correction factor (fcr), that multiplies the fuel flow (ff), allows a better characterization of the cruise flight. We considered two descriptions of the fcr, one as a constant value, and another as a linear interpolation table, as a function of the aircraft's speed, gross weight, center of gravity position, and altitude. Therefore, depending on the chosen fuel correction factor model, the equation describing the fbr can have one of the following expressions:

$$fbr(speed, gw, temp, alt) = ff(speed, gw, temp, alt) * fcr$$
 (2.1)

$$fbr(speed, gw, temp, alt, cg) = ff(speed, gw, temp, alt) * fcr(speed, gw, cg, alt)$$
 (2.2)

The two equations describe the *fbr* value at one specific moment in time (*t*), for the corresponding set of input parameters: *speed*, *gw*, *temp*, *alt*, *cg*. However, the input parameters' values may constantly change during the flight. Therefore, it is appropriate to consider the *ff*, *fcr*, and *fbr* as a function of time. Consequently, the equation describing the *fbr* can also be written as follows:

$$fbr(t) = ff(t) * fcr(t)$$
(2.3)

2.2 The total cost

The cost model used in the present thesis, described by Liden [5] and Liden [3], computes the total cost (C_{tot}) associated with a flight as a sum of two factors: fuel cost (FC), and non-fuel operational cost (NFC).

$$C_{tot} = FC + NFC \tag{2.4}$$

The fuel cost is the price, in dollars, of the quantity of fuel burned (FB) on the considered flight. It is computed as the product between the price of a kilogram of fuel ($Fuel_{price}$) and the integral sum of the fuel burn rate (fbr) over the entire segment distance. The non-fuel operational cost factor represents the sum of all non-fuel costs incurred for flying the considered trajectory. As described by Liden [3], its value, in dollars, is computed as the product between the segment flight time (T_{flight}) and a cost index (CI). The cost index is a parameter, whose value is established by the airline company, representing the non-fuel operational cost, expressed in kilograms of fuel, for a minute of flight. Usually, the duration of the flight is expressed in hours. Therefore, using equation (2.3) that considers the fbr as a function of time, the total cost, expressed in dollars, is described by the equation:

$$C_{tot} = Fuel_{price} * \left(\int_{0}^{T_{flight}} fbr(t) * dt + 60 * CI * T_{flight} \right)$$

$$(2.5)$$

The total cost can be expressed independently of the price of fuel, by eliminating the $Fuel_{price}$ factor. Consequently, the equation describing the total cost, expressed in kilograms of fuel, becomes:

$$C_{TOT} = \int_{0}^{T_{flight}} fbr(t) * dt + 60 * CI * T_{flight}$$
 (2.6)

2.3 The atmosphere

Aerodynamic lift is one of the fundamental flight elements. It is produced by the relative motion between an airfoil and its surrounding mass of air (atmosphere), measured as the airspeed. Consequently, accurate measurement of the airspeed is essential for maintaining a stable, controlled flight. Therefore, it is important to have a good understanding and characterization of the atmosphere, and its parameters. The atmosphere parameters that characterize the unit volume of air are the pressure (p), density (p) and temperature (T). The pressure can correspond to the static pressure (p_s) , determined by the weight of the air column situated above the measure point, the impact (or dynamic) pressure (q_c) corresponding to the kinetic energy of the moving mass of air, and the total pressure (p_T) , as the sum of the static and dynamic pressures. These pressures can be expressed in SI (metric) or English units.

The variation of the air pressure, density and temperature is described by the equation of the ideal gas, as indicated by Aselin [7], Botez [8], and by other authors in the classical references:

$$p = \rho RT \tag{2.7}$$

Where R, the gas constant, is equal to 287 J/kg °K or 1716 ft lbf / slug °R

As atmospheric parameters change with time and location, it is also important to define aircraft performances with respect to a set of known, stable, atmospheric parameters values, called the standard atmosphere. It is thus possible to determine, and compare, aircraft performances in different atmospheric conditions.

2.3.1 The standard atmosphere

The standard atmosphere defines the proprieties of the atmosphere at a reference altitude, the mean sea level (MSL), where the air is dry, and behaves as an ideal gas. The reference values of the atmosphere parameters are defined and presented in the literature, such as Asselin [7] and Botez [8], for various sets of metric, and English, units.

For the range of altitudes corresponding to a normal flight, up to 21,000m = 21Km, the atmosphere is composed of two layers: the troposphere, from 0 to 11Km = 36,089 ft, where the temperature decreases linearly with the altitude, and the stratosphere, between 11Km = 36,089ft and 21Km = 70,000ft, where the temperature is constant. The air pressure and its density decrease with the altitude. The law of variation, for each of the parameters, is dependent on the atmosphere layer for which their values are computed, troposphere or stratosphere. In troposphere, the variation of the parameters, as described by Asselin [7] and Botez [8], is as follows: The temperature variation, with the altitude, is governed by the following equation:

$$T_h = T_{SL} + a_{LR}h \tag{2.8}$$

(Asselin [7], page 312)

where a_{LR} is the temperature variation coefficient, which is -0.0065°K/m or -0.00356 °R/ft. The pressure variation with the altitude is described by the equation:

$$p_h = p_{SL} \left(\frac{T_h}{T_{SL}}\right)^{\left(-g/a_{LR}R\right)}$$
(2.9)

(Asselin, [7], page 312)

The density variation with the altitude is described by the equation:

$$\rho_h = \rho_{SL} \left(\frac{T_h}{T_{SL}} \right)^{(-1 - g/a_{LR}R)}$$
(2.10)

(Asselin, [7], page 313)

In stratosphere, as described by Botez [8], due to the fact that the temperature is constant, the pressure and density laws of variation are defined by the equations:

$$p = p_{0strat}e^{-\frac{g\Delta h_{strat}}{RT_{strat}}}$$
 (2.11)

$$\rho = \rho_{0strat} e^{-\frac{g\Delta h_{strat}}{RT_{strat}}}$$
 (2.12)

where T_{strat} is the stratosphere temperature (216.66°K, -56.5°C, or 390°R), p_{0strat} and ρ_{0strat} are the pressure and the density, at the initial stratosphere altitude of 11Km, and Δh_{strat} is the altitude measured with respect to the initial stratosphere altitude.

It is often useful to compare aircraft performances, or atmosphere parameters, at different altitudes, in the simplest way possible. The comparisons can be achieved by defining a set of parameters: temperature ratio (θ) , pressure ratio (δ) , and density ratio (σ) . As described by

Asselin [7] and Botez [8], their values are computed, for any given altitude h, by dividing the value of the corresponding parameter at altitude h, by its, standard value at the MSL.

Another important parameter is the speed of sound (a), which is computed, as described by Asselin [7], Botez [8] and by other authors in the classical references, using the equation:

$$a = \sqrt{\gamma RT} \tag{2.13}$$

where $\gamma = 1.4$ is the adiabatic constant of the ideal gas.

The atmospheric temperature, pressure, density, or their corresponding ratios, along with the speed of sound variations with the altitude, are summarized in the literature, such as Asselin [7] and Botez [8], as a standard atmosphere definition table.

In reality, the pressure, density and temperature values at the MSL are different than those defined for the standard atmosphere. One parameter, the temperature, is used to compute many aircraft performance data, including the fuel burn and the maximal altitude. The temperature difference with respect to the MSL is defined with respect to the standard atmosphere temperature. This parameter, called standard temperature deviation (*ISA_Dev*), provides a proper way of characterizing aircraft performances as a function of atmosphere variation.

2.4 Mach number, IAS and TAS speeds. Crossover altitude

On-board aircraft sensors, pitot-tubes and static pressure probes, measure the total pressure – representing the sum of the static and the impact pressure, and the static atmospheric pressure, respectively. This information is processed by the air data computer (ADC), to produce three speed parameters: the indicated air speed (*IAS*), the Mach number (*Mach*), and the true air speed (*TAS*).

For the standard atmosphere, and compressible flow regions, the *TAS* is computed based on the dynamic and static pressures measured by the pitot-tube, using the equation:

$$TAS = \sqrt{\left(\frac{2\gamma}{\gamma - 1}\right) \left(\frac{p}{\rho}\right) \left[\left(1 + \frac{p_0 - p}{p}\right)^{\frac{(\gamma - 1)}{\gamma}} - 1\right]}$$
(2.14)

(Asselin, [7], page 323)

The *IAS* value actually represents the value of the calibrated airspeed (*CAS*), measured by the airspeed indicator. This value is equal to the *TAS* only at the sea level, for the standard atmosphere conditions. As described by Botez [8], the *TAS* for a given altitude is obtained from the *IAS*, by compensating for the density and pressure variation with altitude. First, the differential pressure, q_c , corresponding to sea level, standard atmosphere conditions, is computed from the *IAS* value using the equation:

$$q_{c} = p_{0} - p = p_{SL} \left[\left(IAS^{2} \frac{\gamma - 1}{2\gamma} \frac{\rho_{SL}}{p_{SL}} + 1 \right)^{\frac{\gamma}{(\gamma - 1)}} - 1 \right]$$
 (2.15)

which is obtained from the equation (2.14). Then, the pressure (p), and density (ρ) , at the considered altitude are expressed as a function of the standard atmosphere, sea level values, and the pressure ratio (δ) and density ratio (σ) corresponding to that altitude. Finally, they are replaced in equation (2.14), and the final form of the equation that computes the TAS is obtained:

$$TAS = \sqrt{\left(\frac{2\gamma}{\gamma - 1}\right)\left(\frac{p_{SL}}{\rho_{SL}}\right)\left(\frac{\delta}{\sigma}\right)\left[\left(1 + \frac{q_c}{p_{SL}\delta}\right)^{\frac{\gamma - 1}{\gamma}} - 1\right]}$$
(2.16)

The *TAS* value corresponding to a given Mach number is computed, as indicated by Asselin [7] and Botez [8], using the equation:

$$TAS(h) = Mach * a(h)$$
 (2.17)

where a(h) represents the speed of sound at the altitude for which the TAS is computed.

For a speed schedule composed of a Mach number and an IAS speed, the crossover altitude is the altitude at which the *TAS* value computed using the Mach number equals the *TAS* value computed using the *IAS* speed. Below the crossover altitude, the aircraft operation and parameters are referred to the *IAS* speed. At and above the crossover altitude, the aircraft operation and parameters are referred to the Mach number.

2.5 The flight segment and the wind structure

The FMS performs the navigation and performance predictions, and guides the aircraft according to the flight plan entered by the pilots as a series of waypoints and airways. The waypoints are usually selected from the FMS' navigation database. The waypoints can also be entered manually using their geographic coordinates, or positions (distances, angles, or both) relative to one or two waypoints or navigation aid systems. Once the waypoints selected, the FMS computes the length and the heading of each of the segments determined by two consecutive waypoints.

Each waypoint may have a number of parameters, and restrictions, that apply to the segment starting at that waypoint. They may refer to altitude, speed schedule (*IAS*, *Mach*, or both), wind structure, standard temperature deviation (*ISA_Dev*), Requested Arrival Times, etc. If the segment speed schedule is configured as a pair of *IAS* and *Mach* values, the *IAS* value is used below, while the *Mach* value is used at and above the crossover altitude. The wind structure defines the wind layers, as the direction from which they blow, relative to the North, and their speeds, in Knots. Modern FMS, as described by Liden [1], can associate to

each waypoint a structure characterizing the winds at up to four altitudes. At any altitude, the wind is computed through interpolation, using the values shown in the wind structure table. For the scope of the present thesis, we only consider the parameters that describe the segment length, heading, speed schedule, wind structure, and *ISA Dev*.

2.6 Aircraft ground speed, wind triangle and segment flight time

The aircraft speed is measured with respect to the mass of air in which it flies. In still air conditions, aircraft's ground speed (GS) is equal to the TAS. If the mass of air is moving, relative to the ground, the aircraft's ground speed is different than the TAS, and corresponds to the vector summation of the TAS and wind speed (WV). An aircraft is required to closely follow the segment's trajectory therefore, the angle of the resulting ground speed, relative to the North, must closely match the segment heading. To achieve that, the aircraft must compensate by changing its heading with an angle, called wind compensation angle (WCA) or crabbing angle, which is dependent of the aircraft TAS, wind speed, and the relative angle between the segment heading and wind direction, called wind angle (WA).

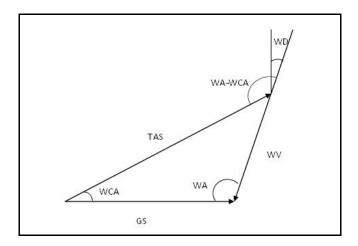


Figure 2.1 "Wind triangle" diagram

The algorithm that determines the value of the crabbing angle, and the resulting ground speed, called the "wind triangle" algorithm, is presented by Botez [8] and Botez [9]. The following computations are performed:

If the relative wind angle, between the segment heading and the wind direction is 0° or 180°, the crabbing angle is 0°, and the ground speed is:

$$GS = TAS \mp WV \tag{2.18}$$

where the "-" sign corresponds to head winds (wind angle = 0°), and "+" corresponds to tail winds (wind angle = 180°).

If the relative wind angle is different than 0 or 180°, the ground speed and crabbing angle are computed using the next equations:

$$WCA = \arcsin\left(\sin\left(WA\right) * \frac{WV}{TAS}\right) \tag{2.19}$$

(Botez, [9], page 28)

$$GS = TAS * \frac{\sin(WA - WCA)}{\sin(WA)}$$
(2.20)

(Botez, [9], page 29)

It is noted that for a given speed schedule, and wind structure, the *TAS* and the wind speed are changing with the altitude. That means that the crabbing angle and the ground speed are dependent of the flying altitude. Consequently, the segment flight time, computed as the quotient of the segment length and ground speed, is also dependent of the flying altitude.

2.7 Aircraft gross weight and center of gravity position

The aircraft's gross weight (gw) represents the total weight of the aircraft and is computed as the sum of the zero fuel gross weight (zfgw), and the fuel weight (fuel), as seen in Federal Aviation Administration [10]. The zfgw includes the weight of the aircraft's structure and payload, that is applied at a specific location, along the longitudinal axis of the aircraft, and depends on the mass distribution of the structural elements of the aircraft and payload. The parameter that describes the position is the zero fuel center of gravity position (zfwcg), and can be expressed as the distance, in inches or millimeters, from the aircraft's center of gravity reference point, or in percentage of the Mean Aerodynamic Chord length (MAC). The aircraft's center of gravity reference point is the point used as a reference in all center of gravity computations. It can be located at the datum, or at a given location along the longitudinal axis of the aircraft, defined by its distance (CGREFDIST) from the datum. The datum is the point on the longitudinal axis of the aircraft, from which all aircraft longitudinal quantities are defined.

As zfgw, and fuel weight are applied at different locations than the center of gravity reference point, each of them will produce a corresponding moment. The convention used in moment calculations, as described in Federal Aviation Administration [10], is that a positive moment is given by a weight force applied aft of the cg reference point, and negative if is applied forward of the cg reference point. The aircraft moment (Ma) is produced by the zfgw, and its magnitude, as a function of the zfgw and zfwcg, is described through charts or data tables. The fuel weight generates the fuel moment (Mf). Its magnitude is a function only on the fuel weight, as the location of the fuel tanks, and the fuel mass distribution, function of the fuel weight, are aircraft design characteristics. The fuel moment is also described through charts or data tables.

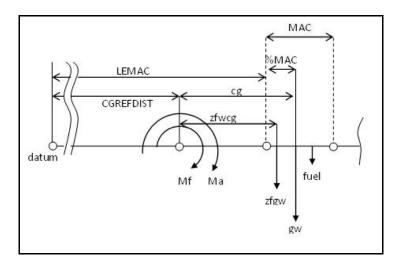


Figure 2.2 Aircraft weights and moments diagram

The total moment (Mt) is computed as the sum of Ma and Mf. In accordance with the fundamental principles of mechanics, two systems of forces applied to an object are equivalent if their resulting forces and corresponding moments, computed with respect to the same reference point, are equal. Consequently, the point of application of the gross weight - the aircraft center of gravity position (cg) - is chosen so that the gross weight produces a total moment (Mt), equal to that produced by the assembly of zfgw, zfwcg and fuel weights. Therefore, as described in Federal Aviation Administration [10], the position of the center of gravity is equal to the quotient of the total moment and the total weight. Consequently, the corresponding cg position, expressed in meters or feet, with respect to the cg reference point, is described by the following equation:

$$cg(zfgw, zfwcg, fuel) = \frac{Ma(zfgw, zfwcg) + Mf(fuel)}{gw(zfgw, fuel)}$$
(2.21)

The cg position can also be expressed in percentage of the mean aerodynamic chord (*MAC*) length such as %MAC. In this case, the position of the cg is referred to the wing leading edge mean aerodynamic chord position (*LEMAC*). Both *MAC* and *LEMAC* are known aircraft design parameters. Following the procedure described in Federal Aviation Administration [10], the equation used for determining the cg position, expressed in %MAC, is:

$$cg(zfgw, zfwcg, fuel) = \frac{\left(\frac{Ma(zfgw, zfwcg) + Mf(fuel)}{gw(zfgw, fuel)} + CGREFDIST - LEMAC\right) *100}{MAC}$$

2.8 Maximal cruise altitude

The maximal altitude achievable by an aircraft depends, as described by Liden [3], on the speed, the gross weight, and the altitude (through the effect of the climb fuel burn on the gross weight) of the aircraft at the time for which the determination of the altitude is made. It corresponds to the maximal altitude value for which the set of two parameters, the speed margin, and thrust margin, have values that are zero or positive.

As indicated by Liden [3], the speed margin is computed as the minimum of the difference between the aircraft's maximal and schedule speed, and the difference between the aircraft's schedule and minimal speed. Also, the thrust margin is computed, for a given altitude and speed schedule, as the difference between the maximum cruise thrust, and the thrust required to maintain a climb rate of 100 ft/s. The actual parameters used in computing the maximal and minimal speed, as well as the altitude limitation imposed by the thrust margin are specific to each aircraft. They are determined by the particular aircraft model used for defining its corresponding performance tables.

CHAPTER 3

ALGORITHM DEVELOPMENT

The development of a new algorithm, determining the optimal cruise altitude for a constant speed, level-flight, cruise segment is presented in this chapter. The optimization strategy chosen for the algorithm is presented first. Subsequently, the algorithm input and output variables, the structure of the algorithm and its implementation are described.

3.1 The optimization strategy

The critical factor that determined the strategy used in the optimization process is the requirement that the algorithm is deterministic, i.e. at different instances of time, same input data produces the same outputs. Therefore, statistical approaches such as meta-heuristic optimization methods were considered inappropriate from the beginning of the algorithm development due to their output dependency on factors such as the optimization starting point, candidate pool choice and size, or limitations imposed by the number of processing iterations. Consequently, a methodology that uses an analytical approach is chosen, based on the algorithm described by Liden [3].

3.2 Input variables

The algorithm input variables are divided into four categories: optimization configuration, aircraft design and performance description, flight segment configuration, and aircraft configuration. Each category is described in a sub-section of this section:

3.2.1 Optimization configuration parameters

These parameters define the particular conditions for which the optimization is performed. They are regarded as a set of constant-value algorithm configuration parameters set in accordance with the airline policy. They correspond to:

- the cost index (CI) in Kg/min;
- optimization distance (*OPT DISTANCE*), defining the length of the segment, in Nm;
- minimal cruise altitude (MIN ALTITUDE), defining the lowest cruise altitude, in ft.

3.2.2 Aircraft design and performance data

The data described in this paragraph represents a subset of general data, specific to each aircraft, characterizing the aircraft's geometry, performances, capabilities, and limitations. The subset is limited to the data used by the optimization algorithm. They are divided into data specific for an aircraft whose cruise, constant speed, level-flight fuel burn model factors the cg position and data that is common to all aircraft.

The data specific for an aircraft whose cruise, constant speed, level-flight fuel burn model factors the cg position are:

- *CGREFDIST* the cg reference point position, in meters, from the datum. Only used for aircraft models that factor the cg position;
- *LEMAC* the wing, leading edge mean aerodynamic chord position, in meters, from the datum. Only used for aircraft models that factor the cg position;
- *MAC* the wing, mean aerodynamic length, in meters. Used only for aircraft models that factor the cg position;
- aircraft moment (M_a) in kg*m, aircraft performance table describing the aircraft moment as a function of the zfgw and zfwcg. Used only for aircraft models that factor the cg position;
- fuel moment (M_f) in kg*m, aircraft performance table describing the fuel moment as a function of the fuel weight. Used only for aircraft models that factor the cg position.

The data common to all aircraft are:

- *ALT_LIMIT* the maximal altitude, in ft, at which the aircraft is allowed to fly, under any circumstances;
- *fuel flow* performance tables one table for each speed mode (*ff*_I for IAS, and *ff*_M for Mach mode) defining the fuel flow, in Kg/h, as a function of *IAS/Mach*, *gw*, *ISA_Dev*, and *altitude*;
- *fuel correction* factor a dimensionless parameter, defined as performance tables or constant values, depending on the aircraft model. If the aircraft model defines it as performance tables, one table is defined for each speed mode (*fcr*_I for IAS, and *fcr*_M for Mach mode), as a function of *cg*, *IAS/Mach*, *gw*, and *altitude*;
- vmo a constant, or a performance table as a function of altitude, defining the maximal IAS speed, in Kts;
- mmo a constant, or a performance table as a function of altitude, defining the maximum
 Mach number;
- minspeed a performance table defining the minimal speed (Mach number), as a function of the product between the aircraft gross weight (gw) and the density ratio (δ) , at the considered altitude:
- trust margin max_altitude limit a performance table defining the maximal altitude limitation due to the thrust margin, in ft. It is described as a function that depends on a combination of parameters specific to each aircraft that may include the gross weight, Mach number, cg, and ISA Dev.

3.2.3 Flight segment configuration

The four parameters corresponding to this category define the characteristics associated with the cruise flight segment for which the optimization is performed, as follows:

- segment heading (heading), in deg;
- wind structure (wind), defining the wind layers at up to four altitudes. Each layer is characterized by an altitude in ft, wind direction (direction) in degrees, and wind speed (speed) in Kts;

- *speed schedule* (*schedule*), defining the pair of Mach number and *IAS*. The *IAS* value is defined in Kts;
- *ISA Dev*, defining the atmosphere standard temperature deviation, in °K.

3.2.4 Aircraft configuration

The aircraft parameters used by the algorithm and described in this section are:

- *zfgw* the aircraft zero fuel gross weight, in Kg. Its value is set before take-off and remains unchanged for the duration of the flight;
- *zfwcg* the zero fuel weight center of gravity position, in %MAC. Used only for the aircrafts whose fuel burn model considers the cg position. Its value is set before take-off and remains unchanged for the duration of the flight;
- *fuel* the fuel weight, in Kg. Its value decreases constantly as the fuel is burned at a fuel burn rate (*fbr*) that depends on the aircraft configuration and flight conditions;
- *current altitude* the aircraft altitude, in ft, at the time when the optimal altitude is requested.

3.3 Output data

The objective of the optimization algorithm is to determine the optimal altitude for flying a selected constant-speed, level-flight, cruise segment. Consequently, the output data is represented by the optimal cruise altitude (optimal_alt), in ft. However, due to the fact that the optimal cruise altitude functionality was not available on the platform used for producing the validation data, the algorithm proposed in this thesis also provides the values for the segment *flight times*, *fuel burns*, and *total costs* – corresponding to the set of valid cruise altitudes. This facilitates the evaluation of the performances of the new fuel burn computing algorithm.

3.4 Algorithm processing steps

The algorithm follows the general processing steps as presented by Liden [3]. They are:

- determining the set of cruise altitudes used in the process of optimization;
- computing the flight time at each altitude in the set of cruise altitudes;
- computing the fuel burn at each altitude in the set of cruise altitudes;
- computing the total cost at each altitude in the set of cruise altitudes;
- determining the altitude yielding the minimal cost.

These steps rely on auxiliary functions that perform general tasks such as: *IAS*, and *Mach* to *TAS* conversion, *ground speed*, and *cg* computing, interpolation, and numerical integration.

3.5 Algorithm implementation

Having chosen the type of algorithm employed (analytical) and knowing the number and order of the computing steps used for determining the optimal altitude, the implementation addresses two principal objectives: first, an algorithm computing the fuel burn, that accounts for the continuous variation of the *fuel burn rate* with the *gross weight* (and *cg*); second, the computations will be performed in a manner that is compatible with the algorithm's response time requirements.

Analyzing the set of algorithm input parameters, it can be observed that they can be regrouped in three categories:

- Parameters that have a constant value for the duration of the flight zfgw, zfwcg, CI, OPT_DISTANCE, MIN_ALTITUDE, as well as the aircraft performance and design data.
- 2) Variables whose values may change, but at longer intervals of time such as the speed schedule (*IAS*, *Mach*), *ISA_Dev*, *segment heading*, and *wind structure* and they are considered constant on the segment for which the optimal altitude is computed.

3) Variables whose values are changing constantly under the influence or as a consequence of the fuel burn – i.e. the *fuel*, and thus the gw and cg.

It can be noted that certain computations can only be performed at the time at which the determination of the optimal altitude is requested, as they are dependent on aircraft's *gross weight* and *cg*. These computations refer to: *maximal altitude*, the *fuel burn* and the *total cost*, for each *altitude* in the determined range of acceptable cruise altitudes. However, certain computations that depend only on parameters that belong to categories 1) and 2), above, can be performed upon a parameter value's modification, and the results used in all subsequent computations. One such example is the generation of *IAS* to *TAS* and *Mach* to *TAS* conversion tables. The modules implementing different parameter calculations are described in the next sub-sections.

3.5.1 TAS and crossover altitude module

This module is executed at each modification of the speed schedule. It pre-computes the *IAS* to *TAS* and *Mach* to *TAS* conversion tables, and the *crossover altitude*, for the set of *altitudes*, multiple of 1000ft., situated between the *MIN_ALTITUDE* and *ALT_LIMIT*. The module ensures that valid *TAS* values are available for any given *speed schedule* and cruise altitude range.

The *IAS* to *TAS* conversion is performed using equations (2.15) and (2.16), and the *Mach* to *TAS* conversion is performed using equation (2.17). The corresponding parameters of the standard atmosphere, used in this thesis, are those presented in Appendix B of Asselin, [7]. The *crossover altitude* is detected, by comparing the two sets of *TAS* values, as the altitude at which the *TAS*(*Mach*) equals the *TAS*(*IAS*).

3.5.2 The maximal cruise altitude and cruise altitude range module

This module computes the range of valid cruise altitudes, corresponding to the aircraft's status at the initial point of the cruise segment for which the optimal altitude is determined. It is executed upon each optimal altitude request.

For each altitude, multiple of 1000ft., situated between MIN_ALTITUDE and ALT_LIMIT, it computes the following parameters:

- The TAS_min(altitude), the TAS corresponding to the Mach number computed from the minspeed interpolation table, function of the gw, and the pressure ratio at the evaluated altitude, δ(altitude).
- The *vmo_TAS(altitude)*, and *mmo_TAS(altitude)* corresponding to the *vmo* and *mmo* aircraft performance parameters/ interpolation tables.

It then compares these values with the aircraft *TAS*(altitude), computed from the speed schedule (*IAS* or *Mach*, function of the position of the *crossover altitude* value). The *speed_limited_altitude* is the highest altitude at which *TAS*(altitude) is larger than *TAS_min*(altitude), and smaller than *vmo_TAS*(altitude) and *mmo_TAS*(altitude). Then, it computes the *max_thrust_altitude*, from the *trust margin max_altitude limit* performance table, as a function of a combination of *gross weight*, *Mach number*, *cg*, and *ISA_Dev* parameters, depending on the aircraft model.

The *maximal cruise altitude* is computed as the minimum between the *speed_limited_altitude* and the *max_thrust_altitude*. Consequently, the range of valid cruise altitudes is composed of the altitudes, multiples of 1,000 ft., situated between the MIN_ALTITUDE and *maximal cruise altitude*.

3.5.3 Ground speeds and segment flight times

This module is executed upon each request for the optimal altitude. First, it computes the ground speed for each altitude, multiple of 1,000 ft. in the range of valid cruise altitudes. For still air conditions, the ground speed at any altitude, is equal to TAS at that same altitude. For constant wind conditions, the ground speed, at any altitude, is computed in two steps. First, the wind parameters (direction and speed) are determined from the wind table associated with the cruise segment, through linear interpolation. Subsequently, the ground speed is computed using the "driftcorr" MATLAB function, implementing the "wind triangle" algorithm. The arguments passed to the "driftcorr" function are: the TAS, cruise segment heading, wind direction and wind speed. Finally, the cruise segment flight time, at each altitude is computed by dividing the cruise segment's length (OPT_DISTANCE), to the ground speed at the corresponding altitude.

3.5.4 The fuel burn

The actual *fuel burn* value can only be computed at the moment of the request for the *optimal altitude*, due to its dependency on the *gw* (and *cg*). More, computing the *fuel burn*, considering the continuous variation of the *gw* (and *cg*) along the cruise segment, requires two elements: Firstly, expressing the *fbr* as a function of time. Secondly, performing the integration of the, time dependent, *fbr* function, on a time domain corresponding to the segment *flight time*. The integration is a time, and computing resources demanding process. It becomes apparent that meeting the response time requirements cannot be achieved by performing all fuel burn computations at the moment of the optimal altitude request. Therefore, the method presented in this thesis performs the computations in steps, at different times. It takes into account two facts: first, aircraft performances are defined through linear interpolation tables; second, the rate of variation of each of the variables, that determine the fuel burn rate, corresponds to one of the three categories presented in the beginning of the section 3.5. It means that there is a set of values for each variable associated with the input of the interpolation tables that defines linearity domains for the output, interpolated, variable.

This propriety is used in finding the time-dependent expression of the fuel burn function, fbr(t). It also means that we can decompose the fuel burn computations in three sub-modules (steps), corresponding to the three categories of variables.

The implementation of the algorithm is function of the fuel burn aircraft model used -cg dependent or cg independent. As presented in sub-section 3.2.2, two sets of *fuel flow* and *fuel correction* factor performance tables are defined, one for each type of cruise speeds - IAS or Mach. Since the difference between the two sets of tables refers only to the type of the speed, the computations associated with each set are identical. Consequently, the implementation of the fuel burn computation algorithm is described only for the Mach index speeds as for the IAS the algorithm is identical.

The algorithm is composed of three modules as follows:

- An initialization module, executed before take-off, once the aircraft *zfgw*,(*zfwcg*) and *fuel* values are set. A series of auxiliary tables are built and subsequently used by the next two modules.
- The intermediary module, that builds *IAS* and *Mach*-based fuel burn look-up tables that describe the dependency between the initial *gw*, *IAS/Mach*, *ISA_Dev*, the cruise *altitude*, the *flight time*, and the final *gw*, thus the *fuel burn*. The module is executed each time the *speed schedule* or *ISA_Dev* parameters change, or as required by the update strategy. The tables are generated for altitudes situated between *MIN ALTITUDE* and *ALT LIMIT*.
- The fuel burn module, extracting the fuel burn quantity as a function of the *gw* at the initial point of the cruise segment, the evaluated cruise *altitude*, and the corresponding *flight time*. It is executed, at each request for the *optimal altitude*, a number of times equal to the pre-determined number of valid cruise altitudes.

3.5.4.1 The initialization module

The main goal of this module is to determine the set of *gross weight* values, $\{gw_i\}$, for which every interval $[gw_i, gw_{i+1}]$ maps linearity domains in all performance interpolation tables

used in the *fuel burn rate* computation. For the cg independent model, the module only identifies and assembles the gw values defined in the ff_L and ff_M performance tables.

For the cg dependent model however, the gw values explicitly, or implicitly, defined by the fcr_I , fcr_M , and M_f performance tables are also considered. First, it determines the set of $\{gw_j\}$ values, explicitly defined in the fcr_I and fcr_M tables. Then, a new fuel moment table as a function of gw, $M_{fgw}(gw)$ is generated. The input variable, fuel, is replaced by the corresponding $gross\ weight$:

$$gw_{k} = zfgw + fuel_{k} \tag{3.1}$$

Subsequently, the set of gw values, $\{gw_p\}$ is determined, that corresponds to the cg values defined in the fcr tables. As $M_{fgw}(gw)$ is defined as a linear interpolation table, on each domain $[gw_k, gw_{k+1}]$ the rate of variation of the *fuel moment* is constant. Its value is computed using the next equation:

$$\frac{\partial M_{fgw}}{\partial gw}\bigg|_{gw_{k}} = \frac{M_{fgw}(gw_{k+1}) - M_{fgw}(gw_{k})}{gw_{k+1} - gw_{k}} \tag{3.2}$$

Consequently, the value of the *fuel moment* corresponding to a *gross weight* in the domain $[gw_k, gw_{k+1}]$ is computed using the equation:

$$M_{fgw}(gw) = M_{fgw}(gw_{k+1}) - \frac{\partial M_{fgw}}{\partial gw}\bigg|_{gw_{k}} *gw_{k+1} + \frac{\partial M_{fgw}}{\partial gw}\bigg|_{gw_{k}} *gw$$
(3.3)

By expressing, in equation (2.22), the *fuel moment* function of the gw, using the $M_{fgw}(gw)$, the equation describing the cg function of the gw becomes:

$$cg(gw) = \frac{\left(\frac{M_a(zfgw, zfwcg) + M_{fgw}(gw)}{gw} + CGREFDIST - LEMAC\right) * 100}{MAC}$$
(3.4)

Consequently, on a gross weight domain, the *gross weight* value corresponding to a given cg position can be computed by replacing $M_{fgw}(gw)$ given by equation (3.3) into equation (3.4):

$$gw(cg) = \frac{\left(M_a\left(zfgw, zfwcg\right) + M_{fgw}\left(gw_{k+1}\right) - \frac{\partial M_{fgw}}{\partial gw}\Big|_{gw_k} * gw_{k+1}\right)}{\left(\frac{cg * MAC}{100} - CGREFDIST + LEMAC - \frac{\partial M_{fgw}}{\partial gw}\Big|_{gw_k}\right)}$$
(3.5)

Therefore, the set of *gross weight* values, $\{gw_p\}$, is computed using equation (3.5), by evaluating each cg value defined by the fcr tables, on all $[gw_k, gw_{k+1}]$ gross weight domains. A gw_p thus computed is considered valid, and retained, if its value lies within the gross weight domain on which it was computed. Finally, the $\{gw_k\}$, $\{gw_j\}$ and $\{gw_p\}$ sets are added to the initial set of $\{gw_i\}$ values:

$$\{gw_i\} = \{gw_i\} \cup \{gw_j\} \cup \{gw_k\} \cup \{gw_p\}$$
 (3.6)

This new set of *gross weight* values $\{gw_i\}$ ensures that each domain $[gw_i, gw_{i+1}]$ corresponds to gw and cg linearity domains, in all performance interpolation tables used for computing the *fuel burn rate*. Next, the M_{fgw} table is rebuilt according to the new set of $\{gw_i\}$ values. Two more auxiliary tables, used by the intermediary module, are built for the same set of $\{gw_i\}$ values. First, the $CG_AT_GW(gw_i)$ table, storing the cg position, corresponding to each gw in the $\{gw_i\}$ set, is computed using equation (3.4). The second table, called $CG_SLOPE(gw_i)$, stores, for each domain $[gw_i, gw_{i+1}]$, a coefficient that is used to determine the cg variation (dcg) function of the $gross\ weight$ variation (dgw), where both are referenced to their corresponding value at gw_{i+1} . To determine the equation used to compute the elements of the table we are using the next equation:

$$dcg(gw) = cg(gw_{i+1}) - cg(gw)$$
(3.7)

In equation (3.7), replacing the cg values with the values given by equation (3.4), and subsequently $M_{fgw}(gw)$ with equation (3.3), we obtain:

$$dcg(gw) = \left(\frac{100*\left(M_a(zfgw, zfwcg) + M_{fgw}(gw_{i+1}) - \frac{\partial M_{fgw}}{\partial gw}\Big|_{gw_i}gw_{i+1}\right)}{MAC}\right)*$$

$$\left(\frac{1}{gw_{i+1}} - \frac{1}{gw_i}\right)$$
(3.8)

Denoting:

$$dgw = gw_{i+1} - gw ag{3.9}$$

and

$$CG_SLOPE(gw_{i}) = \frac{100 * \left(M_{a}(zfgw, zfwcg) + M_{fgw}(gw_{i+1}) - \frac{\partial M_{fgw}}{\partial gw} \Big|_{gw_{i}} gw_{i+1} \right)}{MAC * gw_{i+1}}$$
(3.10)

the equation (3.8) can be written as a function of dgw, therefore it becomes:

$$dcg(dgw) = CG_{SLOPE}(gw_i) * \left(\frac{dgw}{gw_{i+1} - dgw}\right)$$
(3.11)

3.5.4.2 The intermediary module

This module constructs, for a given *speed schedule*, and ISA_Dev , a structure describing the relationship between the aircraft's initial gw, the cruise *altitude*, the *flight time*, and the final gw. The data is assembled for a number of *altitudes*, multiples of 1,000 ft, situated between the $MIN_ALTITUDE$ and ALT_LIMIT . The IAS or Mach performances are characterized, depending on the corresponding *altitude* position relative to the *crossover altitude*. At each *altitude*, the *fuel burn* data is generated for a number of *gross weight* domains that depend on the structure's update strategy, and the $OPT_DISTANCE$. It should cover, at least, the *fuel burn* that can occur on flying the cruise segment, under any conditions. The implementation considers the generation of the *fuel burn* data for the entire set of *gross weight* domains, starting with the one containing the gw value at the time the data is generated, further to the gw corresponding to fuel = 0. It allows the investigation of the module's response time performance, as a function of the $gross\ weight$ range.

The present paragraph describes the computations performed for *Mach index* speeds, at a given *altitude* on a *gross weight* domain $[gw_i, gw_{i+1}]$, for cg dependent and cg independent aircraft models. At *IAS* speeds, the computations are identical, but are using the *IAS* performance interpolation tables $(ff_i, and fcr_i)$. First, the *fuel burn rate* (fbr) equation, function of the dgw, is developed using the equation of the linear interpolation. Then the equation is rewritten, to describe the fbr variation function of time. Finally, the time-dependent fbr function is integrated using the Runge-Kutta 4 (RK4) algorithm, as described by Butcher [11].

The *fuel burn rate (fbr)* is computed as the product between the *fuel flow (ff)* and the *fuel correction* factor *(fcr)*. The steps needed for its calculation are shown next. Denoting:

$$ff_1 = ff_M (Mach, gw_{i+1}, ISA_Dev, altitude)$$
 (3.12)

and

$$ff_2 = ff_M (Mach, gw_i, ISA_Dev, altitude)$$
 (3.13)

the value of the *fuel flow*, at a *gross weight* described by the *dgw*, is computed by linear interpolation, using the equation:

$$ff(dgw) = ff_1 - \frac{ff_1 - ff_2}{gw_{i+1} - gw_i} dgw$$
 (3.14)

Denoting:

$$A_0 = f f_1 \tag{3.15}$$

and

$$A_1 = \frac{ff_1 - ff_2}{gw_{i+1} - gw_i} \tag{3.16}$$

the equation (3.14) becomes:

$$ff(dgw) = A_0 - A_1 * dgw$$
(3.17)

For the cg independent aircraft model and Mach index speeds, the *fuel correction* factor fcr_M is a constant value. Therefore, denoting $C_0 = fcr_M$, the equation of the *fuel burn rate* as a function of the dgw is:

$$fbr(dgw) = C_0(A_0 - A_1 * dgw)$$
 (3.18)

Observing that the *dgw* changes with time, as the *fuel* is burned, the *fbr* variation is described as a function of time:

$$fbr(t) = C_0 (A_0 - A_1 * dgw(t))$$
 (3.19)

There is also a direct relationship between dgw(t) and fbr(t), as the *gross weight* variation is produced as a result of burning the *fuel* at a rate described by the fbr(t). Consequently, the equation that connects dgw and fbr is:

$$dgw(t) = \int fbr(t) dt \tag{3.20}$$

Denoting dgw(t) = I implies that fbr(t) = dI/dt. Therefore, equation (3.19) becomes:

$$\frac{dI}{dt} = C_0 \left(A_0 - A_1 * I \right) \tag{3.21}$$

This differential equation describes the *gross weight* variation (dgw) as a function of the flight time, on a gross weight domain [gw_i , gw_{i+1}], for the cg independent aircraft model.

For the cg dependent aircraft model, for Mach index speeds, the *fuel correction* factor (fcr) is obtained from the fcr_M interpolation table. We denote:

$$cr_{11} = fcr_{M} \left(CG_AT_GW \left(gw_{i+1} \right), Mach, gw_{i+1}, altitude \right)$$

$$cr_{12} = fcr_{M} \left(CG_AT_GW \left(gw_{i} \right), Mach, gw_{i+1}, altitude \right)$$

$$cr_{21} = fcr_{M} \left(CG_AT_GW \left(gw_{i+1} \right), Mach, gw_{i}, altitude \right)$$

$$cr_{22} = fcr_{M} \left(CG_AT_GW \left(gw_{i} \right), Mach, gw_{i}, altitude \right)$$

$$cr_{22} = fcr_{M} \left(CG_AT_GW \left(gw_{i} \right), Mach, gw_{i}, altitude \right)$$

Interpolating, along the gw input parameter of the fuel correction factor performance table, leads to the equations:

$$fcr_{1} = cr_{11} - \frac{cr_{11} - cr_{21}}{gw_{i+1} - gw_{i}} dgw$$

$$fcr_{2} = cr_{12} - \frac{cr_{12} - cr_{22}}{gw_{i+1} - gw_{i}} dgw$$
(3.23)

Subsequently, interpolating between the values of fcr_1 and fcr_2 , to account for the variation of the cg, with respect to $cg_{i+1} = cg(gw_{i+1})$, leads to:

$$fcr = fcr_1 - \frac{fcr_1 - fcr_2}{cg(gw_{i+1}) - cg(gw_i)}dcg$$
(3.24)

Replacing the terms of equation (3.24), with their definitions given in equations (3.11),(3.22) and (3.23), and denoting:

$$B_{0} = cr_{11}gw_{i+1}$$

$$B_{1} = cr_{11} + \frac{cr_{11} - cr_{21}}{gw_{i+1} - gw_{i}}gw_{i+1} + \frac{(cr_{11} - cr_{12})CG_SLOPE(gw_{i})}{CG_AT_GW(gw_{i+1}) - CG_AT_GW(gw_{i})}$$

$$B_{2} = \frac{1}{gw_{i+1} - gw_{i}}\left(cr_{11} - cr_{21} + \frac{(cr_{11} - cr_{12} - cr_{21} + cr_{22})CG_SLOPE(gw_{i})}{CG_AT_GW(gw_{i+1}) - CG_AT_GW(gw_{i})}\right)$$

$$C_{0} = gw_{i+1}$$

$$(3.25)$$

the equation describing fcr(dgw) becomes:

$$fcr(dgw) = \frac{B_0 - B_1 * dgw + B_2 * dgw^2}{C_0 - dgw}$$
(3.26)

Consequently, the equation describing the fbr(dgw), for the cg dependent aircraft model, is:

$$fbr(dgw) = \frac{(A_0 - A_1 * dgw)(B_0 - B_1 * dgw + B_2 * dgw^2)}{C_0 - dgw}$$
(3.27)

Considering equation (3.20) and denoting dgw(t) = I implies that fbr(t) = dI/dt. Replacing the dgw(t) and fbr(t) in equation (3.27) leads to the time dependent differential equation:

$$\frac{dI}{dt} = \frac{\left(A_0 - A_1 * I\right)\left(B_0 - B_1 * I + B_2 * I^2\right)}{C_0 - I}$$
(3.28)

For each gross weight domain, and altitude, a look-up table describing the *gross weight* variation with time is produced by integration of equation (3.21), or (3.28), depending on the aircraft modeling, using the RK4 numerical integration algorithm. The gross weight information stored in the look-up table corresponds to time instances, multiples of the integration time step value, referenced to the beginning of the gross weight domain. Therefore, searches in the look-up table can be performed depending on the gross weight, or the flight time.

The Runge-Kutta numerical integration is performed using the "ode45" MATLAB function. The integration time step was chosen as the minimum between 30sec and the time required to burn the entire quantity of fuel corresponding to the gross weight domain. In order to facilitate the fuel burn computations by the fuel burn module, the time required to burn the entire quantity of fuel corresponding to the gross weight domain is also stored in the fuel-burn look-up table.

3.5.4.3 The fuel burn module

The fuel burn module computes fuel burn values, at a given altitude, as the difference between the value of the gross weight, at the beginning of the considered cruise segment, and the value of the gross weight after flying for a period equal to the cruise segment's flight time. The gross weight domain containing the aircraft's *gross weight* value, at the beginning of the cruise segment, is identified. Subsequently, the corresponding time offset, from the domain's initial gross weight is determined. By subtracting it from the gross weight domain's total time, the flight time required to reach the domain's final gross weight is determined.

Then, a series of iterations are performed on successive gross weight domains, starting with the initial domain. Upon each iteration, the *flight time* corresponding to the selected domain and the cruise *flight time* are compared. If the domain's *flight time* is smaller, its value is subtracted from the cruise *flight time*, and the processing advances to the next iteration, corresponding to the next gross weight domain. If the domain's *flight time* is larger, the final *gross weight* is computed as the value corresponding to the time offset, from the beginning of the domain, equal to the value of the cruise *flight time*. The *fuel burn* is then computed as the difference between the initial and the final *gross weight*.

3.5.5 The total cost

The *total cost* (C_{TOT}), expressed in kilograms of fuel, is computed for each altitude in the set of valid cruise altitudes, using equation (2.6). The value of the integral term is retrieved from the look-up table using the code implementing the fuel burn module.

3.5.6 The optimal altitude module

The optimal altitude module provides the functionality that represents the main object of the present thesis. It is executed upon each optimal altitude request and implements the processing steps described in the sub-section 3.4. It relies on the functions and data provided by the modules described in sub-section 3.5.1 to 3.5.5, to compute the total cost and fuel burn for each altitude in the range of valid cruise altitudes.

Subsequently, the optimal altitude is selected as the altitude yielding the minimal cost. If two or more altitudes present the same minimal cost, the altitude also yielding the minimal fuel burn is selected as the optimal altitude. If two or more altitudes yield the minimal cost and minimal fuel burn, the selected altitude corresponds to that closest to the aircraft's altitude at the time the optimal altitude is computed.

CHAPTER 4

ALGORITHM VALIDATION

The algorithm was implemented for three aircraft models: Airbus A310, Lockheed L1011, and Sukhoi RRJ. The validation strategy adopted for each of the three models depended upon the particularities of the corresponding test platform provided by our research partner CMC Electronics – Esterline. It is important to note that the validation was not intended, in any way, to characterize the PTT's or aircraft's cruise performances. Such an endeavor requires a significant amount of time and resources and was already performed as a part of each product's certification processes.

The algorithm's code was developed in MATLAB, and executed on a Microsoft XP - based, PC platform. For the Airbus A310 and Sukhoi RRJ aircrafts, the main validation data was produced on a test platform, composed of a PC-based FMS simulator, the CMA9000 PTT, replicating the CMC Electronics –Esterline CMA9000 FMS. As the optimal altitude function, implemented by the algorithm described in this thesis, was not available on the test platform, the optimal altitude validation data was computed using the main validation data (segment flight times and fuel burns), generated by the CMA9000 PTT. The Lockheed L1011 validation platform also included a Flightsim 9.1-based aircraft simulator capable of flying the flight plan programmed on the PTT. The aircraft simulator allowed the recording of the variation with time of different aircraft parameters, including the aircraft's *gross weight*.

All tests were performed for cruise segments of 500 Nautical miles (399.59Nm for L1011), corresponding to approximately 1 hour of flight. For the A310 and RRJ models the validation followed three objectives. The first objective of the validation was the comparison of the optimal altitude computed by the algorithm with the optimal altitude computed using the flight times and fuel burns produced by the PTT. The second objective was the evaluation of the performances of the fuel burn computing algorithm. The third objective was the

investigation of the execution times of each of the three modules implementing the algorithm. For the L1011 model the objective of the validation was the evaluation of the fuel burn algorithm performances by comparison with the values computed by the PTT and the Flightsim 9.1 at the optimal altitude computed by the algorithm. This was a limitation determined by the time required to obtain the Flightsim validation data at one altitude (the cruise segment's flight time).

The number of test cases that were evaluated was limited by the time and human resources available for generating the validation data. Each test was generated manually, i.e. platform configuration and data retrieval for each altitude, and each test case, required user intervention. Even without such limitations, an extensive investigation of the algorithm performances would have been impractical, due to the large number of possible combinations of the input parameters (IAS and Mach index speeds, wind structure, standard temperature deviation, zero fuel gross weight, zero fuel weight center of gravity position, fuel weight, minimal cruise altitude, the optimization distance, cruise segment's heading and cost index). While each of the above mentioned input parameters has an influence on the final value of the optimal altitude, the nature of their influence not the same. For instance, cruise segment heading and wind structure are only relevant for constant wind conditions, through the relative wind angle's influence on ground speed and segment flight time. Also, the standard temperature deviation influences the optimal altitude through the fuel burn rate, hence the fuel burn. However, the evaluation of the algorithm performances for still air conditions and one value of the ISA Dev provided valid data related to the fuel burn and optimal altitude modeling. For these reasons, all test cases considered a cruise segment heading of 0 deg (North), still air and a standard temperature deviation, ISA Dev, equal to 0°K. The value of the optimal altitude was influenced by the minimal cruise altitude by means of the number of evaluated cruise altitudes. In order to determine the values describing algorithm modules' maximal (worst) execution times, all test cases considered a minimal cruise altitude value of 20,000ft. For example, for the A310 these values were obtained by generating fuel burn look-up tables for 21 altitudes, and performing cost evaluations of up to 21 altitudes.

According to CMC Electronics, the pair of *IAS* and *Mach* values defining a cruise segment' *speed schedule* are linked by the following rule: the *IAS* value of 280 Kts is paired with a *Mach* value of 0.78; subsequently, an *IAS* variation of 20 Kts corresponds to a *Mach* variation of 0.02.

For the A310 and RRJ models, the algorithm performances were evaluated for a set of *cost index* values equal to 0, 15, 35, 50, and 100. Upon analysis of the algorithm and its validation data it was found that a difference existed between the flight times computed by the algorithm and PTT, respectively, that may be explained by two main factors. The first factor refers to differences in code implementation and data processing due to platform differences. The second factor relates to the way in which the cruise segment is processed. The PTT decomposes the cruise segment in a number of sub-segments, of up to a predetermined length, required by their respective fuel burn computing algorithm. It then computes the total flight time, and the total fuel burn, as the sum of the sub-segments' flight times, and fuel burns respectively. For the tests performed on the PTT, the cruise sub-segments' length was set at 50 Nm for A310 and 99.99 Nm for RRJ. On the contrary, the algorithm presented in this thesis computes the segment flight times and the fuel burns for the *entire segment* independently of its length.

As the *segment flight time* is a parameter that influences both the *fuel cost*, and the *non fuel cost*, a second version of the algorithm was developed, that computed the *optimal altitude*, *fuel burn* and *total cost*, using the *cruise altitude range* and corresponding *segment flight times* computed by the PTT platform. Thus a better analysis of the *optimal altitude*, and *fuel burn* is obtained, where the differences are specific to the fuel burn computing algorithm only. The second algorithm was evaluated for the same set of test configurations, and *cost index* values. The results of the first algorithm, identified as "*Algorithm 1*", and the results of the second algorithm, identified as "*Algorithm 2*", are presented side by side.

The execution times of each of the three main modules: initialization, intermediary and optimal altitude module were measured using the "tic" and "toc" MATLAB functions.

The results corresponding to each of the two developed algorithms describe the algorithm performances from two perspectives, as follows:

- 1) Algorithm performances, characterized by:
 - a) The difference between the *optimal altitude* computed by the algorithm and the corresponding *optimal altitude* computed using the PTT validation data.
 - b) The relative difference between the *flight time*, *fuel burn* and *total cost* values computed by the algorithm at the *optimal altitude* computed by the algorithm, and at the *optimal altitude* computed using the PTT validation data, respectively.
 - c) The relative difference between the *flight time*, *fuel burn* and *total cost* values computed by the algorithm and the values computed using the PTT validation data, respectively, at the *optimal altitude* computed by the algorithm.
- 2) Algorithm execution time, for each of the three algorithm modules: initialization, intermediary and optimal altitude.

For the L1011 model, the aircraft's zfgw and fuel weight, thus gw, at the initial point of the cruise segment along with the corresponding cruise segment's flight time, computed by the PTT, were used for determining the fuel burn values computed by the Flightsim and the algorithm. Using the PTT-computed flight time was important for two reasons: firstly, the Flightsim cannot provide the data correlating the flight time and aircraft position relative to the PTT flight plan. Consequently, as the aircraft's gw (therefore the fuel weight) data recorded by the Flightsim is function of the flight time, it is impossible to extract the information related to the fuel burn function of the aircraft's position along the cruise segment; secondly, this allows to compare the PTT, Flightsim and algorithm fuel burn values for identical flight conditions, therefore, emphasizing the differences that are caused by the fuel burn computing model only. For the L1011 tests, the PTT cruise sub-segments' length was set at 99.99 Nm.

4.1 The test results for Airbus A310

A number of 181 still air test configurations, corresponding to a cruise segment of 500 Nm, were considered as described by the Table 4-1 below. They were chosen to cover the aircraft's range of *IAS* and *Mach index* speeds, *zfgw*, *zfwcg* and *fuel* values.

Table 4-1 Description of the A310 test configurations

IAS/Mach	zfgw	zfwcg	fuel
Kts/-	*1,000 Kg	%MAC	*1,000 Kg
240/0.74	80	16,22,28,34,40	15,25,35
240/0.74	90	16	15,25
240/0.74	90	28	15,25,35
280/0.78	80	16,28,34,40	15,25,35,45,55
280/0.78	80	22	25,35,45,55
280/0.78	90	16,22,28,34,40	15,25,35,45,55
280/0.78	100	16	15,25,35
280/0.78	100	22	15,25,35,55
280/0.78	100	28	25,35,45,55
280/0.78	100	34,40	15,25,35,45,55
320/0.82	80	16,22,28,34	15,25,35,45,55
320/0.82	80	40	15,25,35,45
320/0.82	90	16,28,34	15,25,35,45,55
320/0.82	90	22	15,25,35,45
320/0.82	90	40	15, 35,45,55
320/0.82	100	16,22,28,34,40	15,25,35,45
340/0.84	90	16	15,25,35,45
340/0.84	90	22,28,34,40	15,25,35,45,55

The results for the set of 500 Nm, still air test configurations described in Table 4-1 are presented in Table 4-2, Table 4-3 and Table 4-4 below.

Table 4-2 A310 optimal altitude test results function of the cost index value

	Optimal	Algor	ithm 1	Algorithm 2		
CI	altitude difference (ft.)	Number of tests	%	Number of tests	%	
	-1000			18	9.94	
0	0	152	83.98	135	74.59	
U	1000	26	14.36	25	13.81	
	2000	3	1.66	3	1.66	
	-1000			10	5.52	
15	0	146	80.66	145	80.11	
	1000	35	19.34	26	14.36	
	-1000			10	5.52	
35	0	144	79.56	127	70.17	
	1000	37	20.44	44	24.31	
	-1000			2	1.11	
50	0	139	76.80	133	73.48	
30 -	1000	35	19.34	39	21.55	
	2000	7	3.87	7	3.87	
100	-1000	2	1.11			
	0	148	81.77	163	90.06	
	1000	22	12.15	18	9.94	
	2000	9	4.97			

Table 4-3 A310 performance results, function of the cost index value, as a difference between the algorithm computed values at the optimal altitudes computed using the algorithm and the PTT validation data

Parameter	CI	Algorithm 1			Algorithm 2		
		min	max	avg	min	max	avg
		%	%	%	%	%	%
total cost		-0.68	0.00	-0.07	-0.56	0.00	-0.07
fuel burn	0	-0.68	0.00	-0.07	-0.56	0.00	-0.07
flight time		-1.18	0.00	-0.04	-0.90	0.98	0.04
total cost		-0.45	0.00	-0.05	-0.45	0.00	-0.05
fuel burn	15	-0.56	0.25	-0.04	-0.56	0.04	-0.06
flight time		-1.19	0.00	-0.06	-0.90	0.98	-0.01
total cost	35	-0.44	0.00	-0.05	-0.36	0.00	-0.05
fuel burn		-0.78	0.25	-0.06	-0.56	0.66	0.00
flight time		-1.18	0.52	-0.03	-1.96	0.97	-0.19
total cost		-0.63	0.00	-0.06	-0.31	0.00	-0.05
fuel burn	50	-1.51	0.25	-0.12	-1.14	0.70	0.00
flight time		-1.18	0.52	0.02	-1.96	0.90	-0.16
total cost	100	-0.32	0.00	-0.03	-0.19	0.00	-0.01
fuel burn		-1.60	0.56	-0.12	-0.54	0.00	-0.03
flight time		-0.41	0.52	0.03	0.00	0.00	0.00

Table 4-4 A310 performance results, function of the cost index value, as the difference between the values computed using the algorithm and the PTT validation data, at the optimal altitude computed by the algorithm

Parameter	CI	Algorithm 1			Algorithm 2		
		min	max	avg	min	max	avg
		%	%	%	%	%	%
total cost		-3.32	-0.00	-0.49	-3.12	0.32	-0.48
fuel burn	0	-3.32	-0.00	-0.49	-3.12	0.32	-0.48
flight time		-0.38	0.42	-0.06	0.00	0.00	0.00
total cost		-2.66	0.02	-0.42	-2.46	0.31	-0.37
fuel burn	15	-3.32	-0.00	-0.51	-3.12	0.37	-0.46
flight time		-0.37	0.42	-0.07	0.00	0.00	0.00
total cost		-2.11	0.07	-0.35	-1.91	0.27	-0.31
fuel burn	35	-3.32	-0.00	-0.51	-3.12	0.37	-0.49
flight time		-0.37	0.42	-0.07	0.00	0.00	0.00
total cost		-1.84	0.10	-0.31	-1.64	0.20	-0.28
fuel burn	50	-3.32	-0.00	-0.51	-3.12	0.32	-0.50
flight time		-0.37	0.42	-0.07	0.00	0.00	0.00
total cost	100	-1.32	0.19	-0.20	-1.11	0.15	-0.18
fuel burn		-3.32	-0.02	-0.50	-3.12	0.32	-0.47
flight time		-0.35	0.42	-0.01	0.00	0.00	0.00

Table 4-2 presents an analysis of the optimal altitude differences between the values computed by each of the two developed algorithms, and the corresponding optimal altitude computed using the PTT validation data, as a function of the cost index values. Table 4-3 presents an analysis, for each of the two developed algorithms, of the minimal, maximal and average values of the relative difference between the *flight time*, *fuel burn* and *total cost* values computed by the algorithm, at the algorithm optimal altitude and at the optimal altitude computed using the PTT validation data, as a function of the cost index. Table 4-4 presents an analysis, for each of the two developed algorithms, of the minimal, maximal and average values of the relative difference between the *flight time*, *fuel burn* and *total cost* values computed by the algorithm and the values computed using the PTT validation data, at the algorithm optimal altitude, as a function of the cost index. A negative value of the optimal altitude difference is determined by an algorithm-computed optimal altitude situated lower than the corresponding optimal altitude determined using the PTT validation data. Similarly, a negative value of the *total cost* or *fuel burn* relative difference is produced by an algorithm computed value that is smaller than the corresponding value computed using the PTT validation data.

The performance results in Table 4-2 showed that for both algorithms, an important number of test cases, up to 23.2% for the first algorithm and 29.83% for the second algorithm, generated an *optimal altitude* that was situated from 1,000 ft below, to 2,000 ft above the corresponding *optimal altitude* computed using the PTT validation data. An analysis of the *cost*, *fuel* and *flight time* performance results, presented in Table 4-3 and Table 4-4, indicated that these altitude differences were mostly influenced by the fuel burn modeling.

The results in Table 4-3 show that the relative differences of the algorithm-computed *total cost*, evaluated at the *optimal altitudes* determined by the algorithm and the corresponding *optimal altitude* computed using the PTT validation data, range from -0.63% to 0% for the *total cost* computed by algorithm 1, and from -0.56% to 0% for the algorithm 2. Table 4-3 also shows that the relative *fuel burn* differences, computed for the same conditions, range from -1.51% to 0.56% for algorithm 1, and from -1.14% to 0.98% for algorithm 2.

The results in Table 4-4 show that the relative differences between the algorithm-computed total cost, and the total cost computed using the PTT validation data, evaluated at the optimal altitude computed by the algorithm, range from -3.32% to 0.19% for the total cost computed by algorithm 1, and from -3.12% to 0.32% for the algorithm 2. Table 4-4 also shows that the relative fuel burn differences, computed for the same conditions, range from -3.32% to -0.02% for algorithm 1, and from -3.12% to 0.37% for algorithm 2. It can be observed that a positive value of the relative fuel burn difference corresponding to the algorithm 2 means that the fuel burn value computed by the fuel burn algorithm, for an altitude and flight time, is larger than the fuel burn value computed by the PTT for the same altitude and flight time. This is consistent with the fact that the cg variation with the fuel weight, and by consequence gw, is not monotonous. Therefore, the fuel burn rate computed by the algorithm may increase on certain gross weight domains.

The algorithm execution time statistics, for the initialization, intermediary, and optimal altitude module, respectively, are presented in Table 4-5, Table 4-6 and Table 4-7, below.

Table 4-5 A310 Initialization module execution time statistics

A	Algorithm	1	Algorithm 2			
min time	max average time		min time	max time	average time	
S	S	S	S	S	S	
0.0052	0.5857	0.0112	0.0052	0.0948	0.0104	

As mentioned before, the initialization module is executed only once, before take-off, after the *zfgw*, *zfwcg* and *fuel* weight values are set. For the set of tests presented in this thesis, the amount of time required for running the initialization module were measured to be between 0.0129 and 0.1026 seconds, with an average value of 0.0152 seconds.

Table 4-6 A310 Intermediary module execution time statistics

fuel weight		Algorithm	1	Algorithm 2			
span	min time	max time	average time	min time	max time	average time	
Kg	S	S	S	S	S	S	
15000	12.6178	37.962	17.8924	12.3575	36.9177	17.5526	
25000	18.7237	46.0777	22.7707	18.3396	44.9541	22.314	
35000	24.7152	67.0275	35.9109	24.2971	65.532	35.4261	
45000	34.4046	135.2796	50.4876	33.7307	132.9502	49.1502	
55000	47.2334	150.9742	64.9779	41.5122	148.2863	62.5959	

For a cruise *maximal altitude range* composed of 21 altitudes, and *fuel weight* spans between 15,000 and 55,000 Kg, corresponding to *flight times* between 3 and 12 hours, the maximal time required to generate the fuel burn look-up tables were situated between 38 sec and 151 sec (2 min and 31 sec), with an average time value were situated between 18 and 65 sec (1 min and 5 sec). Consequently, upon changing the *speed schedule* or *ISA_Dev* values, the *fuel burn* data, and subsequently the *optimal cruise altitude* value, may not be available for a time period of more than 2 min and 30 sec.

Table 4-7 A310 Optimal altitude module execution time statistics

Algorithm 1			Algorithm 2			
min time	max average time time		min time	max time	average time	
S	S	S	S	S	S	
0.0129	0.1026	0.0152	0.0023	0.0542	0.0094	

The optimal altitude module time statistics, presented in Table 4-7, show that for still air conditions, and a cruise altitude range of 21 altitudes, the execution time were situated between 13ms and 103ms, with an average value of 15ms.

4.2 The test results for Sukhoi RRJ

A number of 43 still air test configurations, corresponding to a cruise segment of 500 Nm, were considered as described by the Table 4-8 below. They were chosen to cover the aircraft's range of *IAS* and *Mach index* speeds, *zfgw*, and *fuel* values.

Table 4-8 Description of the RRJ test configurations

IAS/Mach	zfgw	zfwcg	fuel
Kts/-	*1,000 Kg	%MAC	*1,000 Kg
240/0.74	80	16,22,28,34,40	15,25,35
240/0.74	90	16	15,25
240/0.74	90	28	15,25,35
280/0.78	80	16,28,34,40	15,25,35,45,55
280/0.78	80	22	25,35,45,55
280/0.78	90	16,22,28,34,40	15,25,35,45,55
280/0.78	100	16	15,25,35
280/0.78	100	22	15,25,35,55
280/0.78	100	28	25,35,45,55
280/0.78	100	34,40	15,25,35,45,55
320/0.82	80	16,22,28,34	15,25,35,45,55
320/0.82	80	40	15,25,35,45
320/0.82	90	16,28,34	15,25,35,45,55
320/0.82	90	22	15,25,35,45
320/0.82	90	40	15, 35,45,55
320/0.82	100	16,22,28,34,40	15,25,35,45
340/0.84	90	16	15,25,35,45
340/0.84	90	22,28,34,40	15,25,35,45,55

The results for the set of 500 Nm, still air test configurations described in Table 4-8 are presented in Table 4-9, Table 4-10 and Table 4-11 below.

Table 4-9 RRJ optimal altitude test results function of the cost index value

	Optimal	Algor	ithm 1	Algori	ithm 2
CI	altitude difference (ft.)	Number of tests	%	Number of tests	%
	0	33	76.74	33	76.74
0	1000	1	2.33	1	2.33
	2000	9	20.93	9	20.93
	0	33	76.74	33	76.74
15	1000	1	2.33	1	2.33
	2000	9	20.93	9	20.93
	0	32	74.42	33	76.74
35	1000	1	2.33	1	2.33
33	2000	9	20.93	9	20.93
	6000	1	2.33		
	0	32	74.42	33	76.74
50	1000	1	2.33	1	2.33
30	2000	9	20.93	9	20.93
	6000	1	2.33		
	0	32	74.42	33	76.74
	1000	1	2.33	1	2.33
100	2000	7	16.28	7	16.28
	3000	2	4.65	2	4.65
	6000	1	2.33		

Table 4-10 RRJ performance results, function of the cost index value, as a difference between the algorithm computed values at the optimal altitudes computed using the algorithm and the PTT validation data

		Algorithm 1			Algorithm 2		
Parameter	CI	min	max	avg	min	max	avg
		%	%	%	%	%	%
total cost		-1.15	0	-0.18	-1.15	0	-0.18
fuel burn	0	-1.15	0	-0.18	-1.15	0	-0.18
flight time		0	0	0	0	0	0
total cost		-0.72	0	-0.11	-0.72	0	-0.11
fuel burn	15	-1.15	0	-0.18	-1.15	0	-0.18
flight time		0	0	0	0	0	0
total cost		-5.84	0	-0.21	-0.48	0	-0.08
fuel burn	35	-13.63	0	-0.50	-1.15	0	-0.18
flight time		0	0.01	0	0	0	0
total cost		-4.69	0	-0.17	-0.39	0	-0.06
fuel burn	50	-13.63	0	-0.50	-1.15	0	-0.18
flight time		0	0.01	0	0	0	0
total cost		-2.83	0	-0.11	-0.34	0	-0.04
fuel burn	100	-13.63	0	-0.60	-3.23	0	-0.28
flight time		0	0.52	0.02	0	0.55	0.03

Table 4-11 RRJ performance results, function of the cost index value, as the difference between the values computed using the algorithm and the PTT validation data, at the optimal altitude computed by the algorithm

		Algorithm 1			Algorithm 2		
Parameter	CI	min	max	avg	min	max	avg
		%	%	%	%	%	%
total cost		-4.09	-0.59	-2.67	-3.25	-0.47	-2.67
fuel burn	0	-4.09	-0.59	-2.67	-3.25	-0.47	-2.67
flight time		-1.35	1.58	0.00	0.00	0.00	0.00
total cost		-3.08	-0.32	-1.68	-1.98	-0.31	-1.68
fuel burn	15	-4.09	-0.59	-2.67	-3.25	-0.47	-2.67
flight time		-1.35	1.58	0.00	0.00	0.00	0.00
total cost		-2.51	0.34	-1.13	-1.33	-0.05	-1.10
fuel burn	35	-4.09	-0.59	-2.67	-3.25	-0.12	-2.60
flight time		-1.35	1.58	0.00	0.00	0.00	0.00
total cost		-2.28	0.59	-0.90	-1.07	-0.04	-0.88
fuel burn	50	-4.09	-0.59	-2.67	-3.25	-0.12	-2.60
flight time		-1.35	1.58	0.00	0.00	0.00	0.00
total cost		-1.91	0.99	-0.53	-0.65	-0.02	-0.52
fuel burn	100	-4.09	-0.27	-2.63	-3.25	-0.12	-2.57
flight time		-1.35	1.58	0.00	0.00	0.00	0.00

Table 4-9 presents an analysis of the optimal altitude differences between the values computed by each of the two developed algorithms, and the corresponding optimal altitude computed using the PTT validation data, as a function of the cost index values. Table 4-10 presents an analysis, for each of the two developed algorithms, of the minimal, maximal and average values of the relative difference between the *flight time*, *fuel burn* and *total cost* values computed by the algorithm, at the algorithm optimal altitude and at the optimal altitude computed using the PTT validation data, as a function of the cost index. Table 4-11 presents an analysis, for each of the two developed algorithms, of the minimal, maximal and average values of the relative difference between the *flight time*, *fuel burn* and *total cost* values computed by the algorithm and the values computed using the PTT validation data, at the algorithm optimal altitude, as a function of the cost index. A negative value of the optimal altitude difference is determined by an algorithm-computed optimal altitude situated lower than the corresponding optimal altitude determined using the PTT validation data. Similarly, a negative value of the *total cost* or *fuel burn* relative difference is produced by an algorithm computed value that is smaller than the corresponding value computed using the PTT validation data.

The performance results in Table 4-9 showed that for both algorithms, an important number of test cases, up to 23.2% for the first algorithm and 29.83% for the second algorithm, generated an *optimal altitude* that was situated from 1,000 ft below to 2,000 ft above the corresponding *optimal altitude* computed using the PTT validation data. An analysis of the *cost*, *fuel* and *flight time* performance results, presented in Table 4-10 and Table 4-11, indicated that these altitude differences were mostly influenced by the fuel burn modeling.

The results in Table 4-10 show that the relative differences of the algorithm-computed *total cost*, evaluated at the *optimal altitudes* determined by the algorithm and the corresponding *optimal altitude* computed using the PTT validation data, range from -5.84% to 0% for the *total cost* computed by algorithm 1, and from -1.15% to 0% for the algorithm 2. Table 4-10 also shows that the relative *fuel burn* differences, computed for the same conditions, range from -13.63% to 0% for algorithm 1, and from -3.23% to 0% for algorithm 2.

One important note relative to the Algorithm 1 *flight time* differences presented in the Table 4-10, for *cost index* values of 0 and 15: it can be observed that the min, max and average values are 0. Following a detailed investigation, it was determined that this is due to the fact that both FMS and algorithm determined optimal altitudes were situated at or above 36000ft, in stratosphere, where the value of the speed of sound is constant with the altitude variation. Therefore the corresponding *ground speed* and segment *flight time* are constant with altitude variation.

The results in Table 4-11 show that the relative differences between the algorithm-computed total cost, and the total cost computed using the PTT validation data, evaluated at the optimal altitude computed by the algorithm, range from -4.09% to 0.99% for the total cost computed by algorithm 1, and from -3.25% to -0.02% for the algorithm 2. Table 4-11 also shows that the relative fuel burn differences, computed for the same conditions, range from -4.09% to -0.27% for algorithm 1, and from -3.25% to -0.12% for algorithm 2. It can be observed that the relative fuel burn difference corresponding to the algorithm 2 are always negative. This means that the fuel burn value computed by the fuel burn algorithm, for an altitude and flight time, is smaller than the fuel burn value computed by the PTT for the same altitude and flight time. This is consistent with the fact that for the cg independent model, the fuel burn rate variation with the fuel weight, and by consequence gw, is monotonous.

The algorithm execution time statistics, for the initialization, intermediary, and optimal altitude module, respectively, are presented in Table 4-12, Table 4-13 and Table 4-14, below.

Table 4-12 RRJ Initialization module execution time statistics

Algorithm 1			Algorithm 2			
min time	max time	average time	min time	max time	average time	
S	S	S	S	S	S	
0.00008	0.0001	0.00009	0.00008	0.0014	0.00009	

For RRJ performance model and the set of tests presented in this thesis, the amount of time required for running the initialization module were measured to be less than 1.5 ms, with an average value of 0.09 ms.

Table 4-13 RRJ Intermediary module execution time statistics

fuel weight		Algorithm	1	Algorithm 2			
span	min time	max time	average time	min time	max time	average time	
Kg	S	S	S	S	S	S	
7000	3.5886	47.7753	10.7782	3.6070	48.3110	11.6924	
8000	4.0318	48.4457	4.5235	4.0409	48.4411	4.7548	
9000	4.6098	49.1462	10.2846	4.5548	49.7206	13.2245	
10000	5.0561	49.5312	15.2343	5.0614	50.2168	16.6336	

For a cruise *maximal altitude range* composed of 24 altitudes, and *fuel weight* spans between 7,000 and 10,000 Kg, the maximal time required to generate the fuel burn look-up tables were situated between 48 sec and 51 sec, with an average time value situated between 4.6 and 16.7 sec. Consequently, upon changing the *speed schedule* or *ISA_Dev* values, the *fuel burn* data, and subsequently the *optimal cruise altitude* value, may not be available for a time period of more than 50 sec.

Table 4-14 RRJ Optimal altitude module execution time statistics

A	Algorithm	1	Algorithm 2			
min time	max average time		min time	max time	average time	
S	S	S	S	S	S	
0.0154	0.0220	0.0163	0.0065	0.1070	0.0126	

The optimal altitude module time statistics, presented in Table 4-14, show that for still air conditions, and a maximal cruise altitude range of 24 altitudes, the execution time were situated between 15.4ms and 22ms, with an average value of 16.3ms.

4.3 The test results for Lockheed L1011

A number of 27 still air test configurations were considered, as described by the Table 4-15 below. They correspond to a cruise distance of 399.59 Nm. These configurations were chosen to cover the aircraft's range of *IAS* and *Mach index* speeds, *zfgw*, and *fuel* values. As mentioned before, the objective of the validation was to compare the algorithm fuel burn predictions with those computed by the PTT and the Flightsim.

The fuel burn difference values are presented as percentage of the fuel burn value extracted from the Flightsim recorded data. A positive value represents an algorithm / PTT predicted value that is larger than that corresponding to the Flightsim.

It can be observed that for all test cases the fuel burn differences between the algorithm and Flightsim values ranged from 1.89% to 4.52%, whereas the difference between the PTT and Flightsim values ranged from -1.34% to 6.59 % of the corresponding Flightsim value. Considering the fact that the Flightsim computes the fuel burn in real time, its fuel burn value should provide the closest approximation of the integral of the *fuel burn rate*, and is considered the most accurate. By consequence, a negative value of the relative difference between the PTT and Flightsim computed fuel burn corresponds to a PTT value that is lower than the value of the integral of the fuel burn rate. However, the L1011 model is not cg-dependent and its *fbr* variation with the *gw* is monotonous. Therefore, a PTT fuel burn value that is lower that the Flightsim' suggests that the algorithm that considers a constant fuel burn rate on each cruise sub-segment may predict fuel burn values that are smaller than the actual ones. More, for the majority of cases for which the PTT fuel burn is larger than the one computed by the Flightsim, the value corresponding to the new proposed fuel burn algorithm is closer to that of the Flightsim than the PTT value, therefore more accurate.

Table 4-15 L1011 tests description and fuel burn results

Speed		Enal	CDIUCE	Flight	Fuel Burn	differences
schedule (Mach/IAS)	ZFGW	Fuel weight	CRUISE ALT	Time (FMS)	FMS - Flightsim	ALG - Flightsim
- / Kts.	*1000	*1000	ft	h	%	%
/ IXIS.	Kg	Kg				
		29	38000	0.8920	6.39	4.52
	117.3	48.36	36000	0.8920	4.52	3.68
		72.5	34000	0.8840	3.24	2.40
		22.2	36000	0.8920	0.20	3.86
0.78 / 280	140	37	34000	0.8840	-0.51	3.07
		55.5	33000	0.8800	-0.35	2.72
		19.2	36000	0.8920	-0.37	3.23
	150	32	34000	0.8840	-0.33	3.21
		48	33000	0.8800	-0.62	2.61
	117.3	29	40000	0.8720	0.02	3.80
		48.36	36000	0.8720	-0.33	3.57
		72.5	34000	0.8640	-1.34	2.39
		22.2	38000	0.8720	4.91	3.19
0.80 / 300	140	37	36000	0.8720	-1.09	2.68
		55.5	34000	0.8640	2.71	2.12
		19.2	36000	0.8720	3.76	3.18
	150	32	34000	0.8640	-0.63	3.21
		48	34000	0.8640	2.38	2.06
		29	40000	0.8480	6.59	3.49
	117.3	48.36	38000	0.8480	-0.42	2.97
		72.5	34000	0.8400	-1.50	1.94
		22.2	38000	0.8480	4.99	2.97
0.82 / 320	140	37	36000	0.8480	3.22	2.34
		55.5	34000	0.8400	-1.48	1.89
		19.2	36000	0.8480	-0.85	2.75
	150	32	36000	0.8480	-1.01	2.40
	-50	48	34000	0.8400	-1.37	1.96

CONCLUSIONS

The algorithm described in this thesis was implemented for a number of three aircraft models, Airbus A310 which is *cg* dependent, Locheed L1011, and Sukhoi RRJ, which are not *cg* dependent.

For the A310 and RRJ aircraft models, two versions of the algorithm were used for validation, the original version of the algorithm proposed in this thesis - that performs all the computations required to determine the *optimal altitude*, and a modified version - that uses the cruise *altitude range* and corresponding *flight times*, computed by the PTT. The modified version allowed for a better analyze of the differences between the fuel burn model proposed in this thesis and that used by the PTT. The test scenarios investigated algorithm performances, in still-air conditions, for an *optimization distance* of 500 Nm and five *cost index* values (0, 15, 35, 50 and 100). This approach is consistent with the fact that the wind conditions' influence on the *fuel burn* and *total cost* are produced through the changes induced in the value of the cruise segment's *flight time* only. Therefore, the still air scenarios allow for a good and accurate characterization of the fuel burn and optimal altitude algorithms' performances.

The test results showed that, depending on the *cost index* value, for up to 83% of A310 and 76% of RRJ test cases the *optimal altitude* computed by the algorithm was identical to that computed using the PTT validation data. Also, for up to 23% of the test cases the optimal altitudes were situated in a range of 2,000 ft from the values computed using the PTT validation data. It is interesting to note that for the algorithm version that uses the PTT computed flight times and A310 model, depending on the *cost index* value, the percentage corresponding to identical values of *optimal altitude* rose up to 90%, while the percentage corresponding to *optimal altitude* differences also rose up to 30%. For the RRJ model the percentages remained virtually unchanged.

The test results for the A310 model, for the algorithm version that uses the PTT computed flight times, also showed that for identical altitudes and flight times, the values of the *fuel burn* computed by the algorithm were smaller for some test cases, and larger for other test cases, than the values computed by the PTT. This is consistent with the fact that the *cg* variation with the *fuel weight*, and by consequence *gw*, is not monotonous. Therefore, the *fuel burn rate* computed by the algorithm may decrease on certain *gross weight* domains and increase on others. Also, the results of the L1011 tests performed on the Algorithm/PTT/Flightsim 9.1 platform indicated that the fuel burns predicted by the algorithm were closer to the values extracted from the Flightsim recordings, which are considered a close approximation of the fuel burn rate integral, than the values determined by the PTT. This suggests that the fuel burn model implemented by the algorithm is better than the model assuming constant fuel burn rates.

The analysis of the execution times of each of the main modules implementing the optimal cruise altitude algorithm suggests that the algorithm can successfully observe the requirements imposed by a real-time environment. The execution times for the initialization module are very small compared with the time between the FMS configuration and aircraft take-off, which is usually in the range of 15 to 30 minutes.

The intermediary module execution times were found to reach up to 150 sec for the cg-dependent, and 51 sec for the cg-independent model. The delays caused by the fuel burn look-up tables generation, upon a change of speed schedule or ISA_Dev values, are not frequent and could be regarded as an algorithm limitation. A table generation and an update policy adapted to the aircraft's performances and selected *optimization distance*, in conjunction with an appropriate *minimal cruise altitude* selection, could provide an important reduction of the fuel burn look-up tables generation time.

The maximum response time of the optimal altitude module was determined to be of 103 ms. Considering that the *optimal cruise altitude* value is computed or updated at time intervals of

no less than one minute, similar to other FMS data, we can conclude that the optimal altitude module can successfully meet the optimal altitude computation and update time constraints.

In conclusion, the fuel burn algorithm presented in this thesis provides two important features: Firstly, the value of the *fuel burn* considers the continuous variation of the *fuel burn* rate with time, due to the variation of the *fuel weight*, thus it's more accurate than that considering constant fuel burn rates on sub-segments of 50 Nm. Secondly, it would no longer require the decomposition of a cruise segment in smaller sub-segments, thus reducing the volume of computations. This, in turn, opens the possibility for computing *fuel burn* values for cruise flights spanning multiple segments, at once, using the corresponding total flight time.

RECOMMENDATIONS

The analysis of the results presented in this thesis identified a number of directions, and tasks, that could contribute to extending the characterization of algorithm's performances, and/or augment its capabilities.

The first recommendation addresses the generation of the FMS validation data, as it was the principal factor limiting the number of test scenarios, and aircraft models, covered in this thesis. It refers to identifying, or implementing a method that automates the process of generation, and collection, of CMA 9000 FMS validation data, in collaboration with CMC Electronics - Esterline. Subsequently, extending the number of test scenarios, both for still air and constant wind conditions, would allow a more detailed characterization of the algorithm's performances and its advantages.

Other work may also include optimal cruise altitude algorithm improvement, with the implementation of variable wind (wind blending) scenarios processing capabilities, and the consideration of the costs, and fuel burns, associated with the climbs and descents imposed by the cruise altitude change.

The optimal cruise altitude algorithm can be easily adapted for scenarios where the *optimization distance* corresponds to a series of consecutive, independent, segments flown at the same speed schedule and ISA Dev values.

Finally, we propose the implementation of the fuel burn computation algorithm, presented in this thesis, for all cruise, constant speed, level flight computations performed by the FMS.

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